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**UNITED STATES DISTRICT COURT**

**FOR THE NORTHERN DISTRICT OF CALIFORNIA**

LOOP AI LABS, INC., a Delaware  
corporation,

Plaintiffs,

v.

ANNA GATTI, an individual,  
ALMAVIVA S.p.A., an Italian corporation,  
ALMAWAVE S.r.l., an Italian corporation,  
ALMAWAVE USA, Inc., a California  
corporation, IQSYSTEM LLC, a California  
limited liability company, IQSYSTEM Inc., a  
Delaware corporation,

Defendants.

CASE NO.: 3:15-cv-00798-HSG

Hon. Haywood S. Gilliam, Jr.  
Courtroom 15

**JOINT CASE MANAGEMENT STATEMENT**

Date: May 26, 2015  
Time: 2:00 p.m.  
Dept: Courtroom: 15, 18<sup>th</sup> Floor

Action Filed: February 20, 2015  
Trial Date: None

1 All defendants to the above-entitled action except those contesting personal jurisdiction  
 2 jointly submit this JOINT CASE MANAGEMENT STATEMENT & PROPOSED ORDER  
 3 pursuant to the Standing Order for All Judges of the Northern District of California dated July 1,  
 4 2011 and Civil Local Rule 16-9. Plaintiff's counsel declined to participate in drafting this joint  
 5 statement because, as defendants understand it, plaintiff does not agree with certain of  
 6 defendants' positions and/or plaintiff believes certain issues are being inappropriately raised via  
 7 this statement. *See* Ex. A. Plaintiff instead insisted on submitting only a truncated "check-box"  
 8 form joint statement that defendants believe is inadequate. *See id.* In any event, defendants set  
 9 forth below their understanding of plaintiff's positions to the extent possible.

10 1. Jurisdiction & Service

11 *The basis for the court's subject matter jurisdiction over plaintiff's claims and*  
 12 *defendant's counterclaims, whether any issues exist regarding persona jurisdiction or venue,*  
 13 *whether any parties remain to be served, and, if any parties remain to be served, a proposed*  
 14 *deadline for service.*

15 Plaintiff contends that subject matter jurisdiction is proper pursuant to 18 U.S.C. section  
 16 1964 and 18 U.S.C. section 1030. Plaintiff further contends that it has served all defendants.

17 Defendants Almaviva S.p.A. and Almaxwave S.r.l. contend that the Court lacks personal  
 18 jurisdiction over them and have filed pending motions to that effect.

19 2. Facts

20 *A brief chronology of the facts and a statement of the principal factual issues in dispute.*

21 Plaintiff, a company based in San Francisco and operating in the artificial intelligence  
 22 space, alleges that Defendants, acting in concert with one another, engaged in a scheme to  
 23 destroy the Plaintiff, and to steal its trade secrets and other properties, and that as a result of his  
 24 wrongdoing Plaintiff suffered substantial damages. Plaintiff has brought various claims for  
 25 violations of federal and state laws.

26 Defendants deny Plaintiff's allegations.

27 3. Legal Issues

28 *A brief statement, without extended legal argument, of the disputed points of law,*

1 *including reference to specific statutes and decisions.*

2 All legal issues arising out of the First Amended Complaint are presently in dispute.

3 4. Motions

4 *All prior and pending motions, their current status, and any anticipated motions.*

5 Plaintiff filed an application for a temporary restraining order, which the Court denied.

6 Plaintiff filed a motion for clarification which the Court has ruled on and a motion for  
7 reconsideration which the Court denied.

8 Plaintiff has pending a Special Motion to Strike pursuant to California Code of Civil  
9 Procedure section 425.16.

10 Defendant Almaxwave USA has pending a motion to dismiss pursuant to Rule 12(b)(6)  
11 that is fully briefed and set for hearing on July 2.

12 Defendants Almaxviva S.p.A. and Almaxwave S.r.l have pending a motion to dismiss for  
13 lack of personal jurisdiction set for hearing on July 2, and which will be fully briefed on May 20.

14 Defendants Anna Gatti and IQSystem LLC have pending a motion to dismiss pursuant to  
15 Rule 12(b)(6) that is fully briefed and set for hearing on July 2.

16 The Almaxwave defendants anticipate filing potential further motion(s) to narrow the  
17 pleadings if necessary and appropriate, a motion to consolidate Almaxwave's anticipated separate  
18 action against Ms. Gatti, IQSystem and Tony Di Napoli (assuming another procedure is not  
19 ordered at the case management conference, discussed more fully in section 5 below), a possible  
20 Rule 11 motion against Plaintiff, a motion for attorneys' fees and costs pursuant to California  
21 Civil Code section 3426.4, and a motion for summary judgment.

22 Defendant IQSystem, Inc. anticipates filing a possible Rule 11 motion against Plaintiff,  
23 and a motion for summary judgment.

24 Defendants Gatti and IQ System, LLC anticipates filing a possible Rule 11 motion  
25 against Plaintiff, and a motion for summary judgment. Gatti and IQ System, LLC may also file  
26 motions to dismiss if any additional actions are filed against it.

27 5. Amendment of Pleadings

28 *The extent to which parties, claims, or defenses are expected to be added or dismissed*

1 *and a proposed deadline for amending the pleadings.*

2 Defendants understand that Plaintiff “anticipates amending the First Amended Complaint  
3 (“FAC”) should any defendant be successful on a pending motion to dismiss, and should the  
4 Court’s order permit amendment.”

5 Almaxwave intends to file either a cross-complaint or separate action against Ms. Gatti,  
6 IQSystem and Tony Di Napoli arising out of the same facts alleged in Plaintiff’s complaint. If  
7 Almaxwave files a separate action, it will seek to have the action consolidated with this one.

8 Absent leave of Court, Almaxwave cannot file its cross-complaint until it answers  
9 Plaintiff’s FAC, and it cannot answer until after its pending motion to dismiss is ruled upon,  
10 presumably no earlier than the current hearing date of July 2.

11 Almaxwave has asked that all parties stipulate to either allowing Almaxwave to assert its  
12 cross-complaint now, or otherwise consent to consolidation of the separate action. Plaintiff has  
13 said that it “objects” to Almaxwave filing a cross-complaint but has not identified any basis for its  
14 objection. Plaintiff also has refused to consent to consolidation of a separate action. Almaxwave  
15 will therefore seek the Court’s guidance via oral motion at the Case Management Conference to  
16 permit the early filing of a cross-complaint. If that motion is not granted and absent any further  
17 direction from the Court, Almaxwave will file its action separately and then make a motion to  
18 consolidate that new case with this case.

19 **6. Evidence Preservation**

20 *A brief report certifying that the parties have reviewed the Guidelines Relating to the*  
21 *Discovery of Electronically Stored Information (“ESI Guidelines”), and confirming that the*  
22 *parties have met and conferred pursuant to Fed. R. Civ. P. 26(f) regarding reasonable and*  
23 *proportionate steps taken to preserve evidence relevant to the issues reasonably evident in this*  
24 *action. See ESI Guidelines 2.01 and 2.02, and Checklist for ESI Meet and Confer.*

25 **PLAINTIFF’S POSITION:**

26 Defendants understand that Plaintiff “contends that it has taken steps to preserve all  
27 potentially relevant evidence within its custody or control.”

28 **ALMAXWAVE’S POSITION:**

Almawave has asked all parties and their counsel to preserve all evidence relating to how and to what extent Almawave's confidential communications have been disclosed to Plaintiff's attorneys, and to whom else the communications have been disclosed. Plaintiff's counsel has declined to confirm that she has any obligation to preserve such data separate and apart from her client's obligation.

**ALL DEFENDANTS' POSITION:**

Upon receiving notice of this litigation, all defendants took steps to preserve all potentially relevant evidence within their respective custody or control.

7. Disclosures

*Whether there has been full and timely compliance with the initial disclosure requirements of Fed. R. Civ. P. 26 and a description of the disclosures made.*

The parties have not yet exchanged Initial Disclosures but have agreed to do so by May 22, 2015.

8. Discovery

*Discovery taken to date, if any, the scope of anticipated discovery, any proposed limitations or modifications of the discovery rules, a brief report on whether the parties have considered entering into a stipulated e-discovery order, a proposed discovery plan pursuant to Fed. R. Civ. P. 26(f), and any identified discovery disputes.*

**PLAINTIFF'S POSITION:**

Defendants understand that plaintiff contends that "Merits discovery is in the process of being served. Plaintiff proposes that all discovery, except for expert discovery, be completed by November 30, 2015."

**ALMAWAVE'S POSITION:**

Most of Almawave's responsive documents are located in Italy and are in Italian. Besides the foreign language issue, European data privacy concerns may also impose limitations on document production. Likewise most, if not all, of Almawave's witnesses are in Italy and speak solely Italian. These considerations will make discovery more costly and time intensive. Therefore, Almawave has proposed to all parties a phased discovery process intended to allow

1 for the most efficient resolution of the litigation.

2 Moreover, because of the nature of the claims and the location of the documents, it is  
3 critical that the parties agree on a protocol for the discovery of electronically stored information.

4 Finally, Plaintiff has indicated that it intends to seek depositions from up to twenty  
5 individuals. All defendants will of course have their own witnesses to depose, which number is  
6 presently unknown. Therefore, the total number of deponents in this case could be in excess of  
7 thirty. Given these limitations, Almawave does not agree that discovery can be completed by  
8 November 30, 2015.

9 Almawave has proposed that discovery be handled as follows:

10 Document Discovery

11 It is Almawave's position that before the parties engage in costly and time-consuming  
12 ESI discovery, the parties should agree on an ESI protocol that includes such issues as phasing,  
13 custodians, search terms and parameters, the format of the productions, and technical details.

14 Almawave's counsel has begun but not completed its investigation of Almawave's IT  
15 infrastructure and is working to identify the location of all potentially relevant documents. That  
16 investigation necessarily will inform the ESI protocol that the parties enter into. Almawave has  
17 proposed that all parties formalize a detailed ESI protocol before engaging in costly electronic  
18 discovery.

19 Thus, it is Almawave's position that document collection should not commence until (1)  
20 the pleadings including any cross-complaint are closed; (2) European data privacy concerns and  
21 Hague Convention protocols are addressed; (3) an ESI protocol is finalized; and (4) a protective  
22 order is agreed upon and entered by the Court.

23 Depositions

24 Plaintiff and Almawave agree that depositions should follow document discovery  
25 relevant to the deponents. Almawave believes that before depositions can proceed, the parties  
26 need to agree on a protocol for the conduct of non-native English speakers and translation.

27 Phased Discovery

28 Almawave has proposed phased discovery, which it believes will assist in the expeditious

1 and cost-effective resolution of this case, and respectfully requests phased discovery be ordered  
2 by the Court as follows:

3           **Phase I** (initial document discovery): With regard to Alwave, this would  
4 include documents between Ms. Gatti, Mr. Di Napoli or IQSystem, on the one hand, and  
5 Alwave, on the other, referring to Loop or Soshoma.

6           If the case against Alwave persists, **Phase II** document discovery will cover  
7 other communications and documents.

8           **Phase III** document discovery will cover other technical information, including  
9 source code to the extent its production is ordered by the Court.

10          **Phase IV** document discovery will cover damages.

11          Additionally, Alwave believes discovery regarding Alwave's claims should  
12 be coordinated with discovery regarding Loop's claims because it will be highly duplicative.

13          **ALL DEFENDANTS' POSITION:**

14          Given the potential scope of discovery and the complications above, Defendants believe  
15 it is premature to schedule a trial date or discovery cut-off deadline at this time. Instead, as  
16 discussed further below, it proposes a further Case Management Conference 60-90 days after the  
17 hearing on the pending motions to dismiss.

18          9. Class Actions

19          *If a class action, a proposal for how and when the class will be certified.*

20          Not applicable.

21          10. Related Cases

22          *Any related cases or proceedings pending before another judge of this court, or before*  
23 *another court or administrative body.*

24          Not applicable at the present.

25          As indicated above, however, Alwave intends to file either a new action or a cross-  
26 complaint depending upon the Court's order.

27          11. Relief

28          *All relief sought through complaint or counterclaim, including the amount of any*

1 *damages sought and a description of the bases on which damages are calculated. In addition,*  
 2 *any party from whom damages are sought must describe the bases on which it contends damages*  
 3 *should be calculated if liability is established.*

4 **PLAINTIFF'S POSITION:**

5 Plaintiff seeks legal and equitable remedies against each Defendant.

6 **ALL DEFENDANTS' POSITION:**

7 Defendants seek a dismissal with prejudice of all of Plaintiff's claims. Moreover, should  
 8 Plaintiff persist in its trade secret misappropriation claim, Defendants will seek their respective  
 9 attorneys' fees pursuant to California Civil Code section 3426.4.

10 12. Settlement and ADR

11 *Prospects for settlement, ADR efforts to date, and a specific ADR plan for the case,*  
 12 *including compliance with ADR L.R. 3-5 and a description of key discovery or motions necessary*  
 13 *to position the parties to negotiate a resolution.*

14 The case was designated for ADR under the Local Rules.

15 The parties had an ADR teleconference with the Court staff on Monday, May 18, 2015.

16 13. Consent to Magistrate Judge For All Purposes

17 *Whether all parties will consent to have a magistrate judge conduct all further*  
 18 *proceedings including trial and entry of judgment. \_\_\_\_ YES \_\_\_\_ X NO*

19 All parties did not consent to a Magistrate Judge for all purposes.

20 14. Other References

21 *Whether the case is suitable for reference to binding arbitration, a special master, or the*  
 22 *Judicial Panel on Multidistrict Litigation.*

23 The parties do not believe this case is suitable for binding arbitration or the Judicial Panel  
 24 on Multidistrict Litigation. At this time the parties do not believe that referral to a special master  
 25 is appropriate.

26 15. Narrowing of Issues

27 *Issues that can be narrowed by agreement or by motion, suggestions to expedite the*  
 28 *presentation of evidence at trial (e.g., through summaries or stipulated facts), and any request to*



*bifurcate issues, claims, or defenses.*

The parties have not yet identified areas where they may narrow the issues in dispute. Almaxwave believes that its phased discovery proposal presents the best opportunity to narrow the issues in dispute.

16. Expedited Trial Procedure

*Whether this is the type of case that can be handled under the Expedited Trial Procedure of General Order 64, Attachment A. If all parties agree, they shall instead of this Statement, file an executed Agreement for Expedited Trial and a Joint Expedited Case Management Statement, in accordance with General Order No. 64, Attachments B and D.*

Defendants do not believe that this case can be expedited for trial.

17. Scheduling

*Proposed dates for designation of experts, discovery cutoff, hearing of dispositive motions, pretrial conference and trial.*

**PLAINTIFF'S POSITION AS UNDERSTOOD BY DEFENDANTS:**

Parties Exchange Initial Disclosures	5/22/15
Last Day to Amend Complaint, Answer and/or Counterclaim	20 days after Court's ruling on 12(b)(6) motions
Last Day for Non-Expert discovery	10/31/15
Last Day to Designate Experts	10/31/15
Last Day to File Motion for Summary Judgment	11/15/15
Proposed Month/Year for Trial	March 2016

**ALL DEFENDANTS' POSITION:**

Defendants believe that Plaintiff's schedule is not realistic and believe that it would be helpful for the Court to set a second Case Management Conference for 60-90 days after the hearing on the pending motions to dismiss, currently set for July 2, 2015, at which point the pleadings will likely be settled and the parties will have had a chance to work together to establish an ESI protocol and resolve other disputes regarding discovery and case management. Defendants believe that at that time the parties and the Court will be in a better position to estimate how much time it will take to complete discovery and when the parties can be expected

1 to file Motions for Summary Judgment.

2 Subject to the applicability of Cal. C.C.P. section 425.16(g), further discussed in  
3 Paragraph 21 herein, Defendants also request that the Court Order that only Phase 1 of the  
4 proposed discovery phases (discussed above) proceed until the next Case Management  
5 Conference, at which point the parties and the Court can determine the timing of all phases.

6 18. Trial

7 *Whether the case will be tried to a jury or to the court and the expected length of the*  
8 *trial.*

9 Defendants understand that “Plaintiff requests a jury trial and estimates that it will last 14  
10 days.”

11 Defendants also requests a jury trial, but cannot at present estimate how many days it  
12 expects trial to last. Given the current state of the pleadings and Plaintiff’s claims, Defendants  
13 estimate a 21-day trial.

14 19. Disclosure of Non-party Interested Entities or Persons

15 *Whether each party has filed the “Certification of Interested Entities or Persons”*  
16 *required by Civil Local Rule 3-15. In addition, each party must restate in the case management*  
17 *statement the contents of its certification by identifying any persons, firms, partnerships,*  
18 *corporations (including parent corporations) or other entities known by the party to have either:*  
19 *(i) a financial interest in the subject matter in controversy or in a party to the proceeding; or (ii)*  
20 *any other kind of interest that could be substantially affected by the outcome of the proceeding.*

21 All parties except for Defendant IQSystem LLC have filed their disclosures. *See* Docket  
22 Entries 2, 34, 41-42.

23 **ALMAWAVE’S POSITION:**

24 Plaintiff has asked for additional information about the owner of Almagiva S.p.A.; *i.e.*,  
25 whether GE Capital is a shareholder. Almagwave contends that Almagiva S.p.A.’s disclosure  
26 complies with the Court’s rules and the Federal Rules of Civil Procedure but is prepared to  
27 further address the issue upon the Court’s request.

28



**ATTESTATION REGARDING SIGNATURES**

I, Thomas E. Wallerstein, attest that all signatories listed, and on whose behalf the filing is submitted, concur in the filing's content and have authorized the filing.

DATED: May 19, 2015

VENABLE LLP

By: /s/ Thomas E. Wallerstein  
Thomas E. Wallerstein

Attorneys for Defendant Almawave USA,  
Inc.

# EXHIBIT A

**Wallerstein, Thomas E.**

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**From:** Wallerstein, Thomas E.  
**Sent:** Monday, May 18, 2015 8:31 PM  
**To:** 'Valeria Calafiore Healy'; Culp, Kimberly  
**Cc:** Thomas J. LoSavio; Abbasciano, Stefano; jregan@lowball.com; Dan Weinberg; Diana Wong; Bryan Wolin; Sabrina M. Saccone; Chintanaseri, Su; Kerakos, Manoush  
**Subject:** RE: Loop AI Labs Inc. v. Gatti et al.

Counsel, you really should reconsider. The parties don't need to agree on everything but there is no reason you can't set forth plaintiff's position – whatever it is – in the context of a joint statement. You have seen what we intend to submit and you can respond however you like within the statement, fix anything you think is incorrect and otherwise suggest edits or whatever.

Frankly, the Court expects and deserves a joint statement and you shouldn't refuse to submit one just because you don't like what we have to say.

Anyway, as you know we only got your first draft late Friday and in the midst of our office move and the statement is due tomorrow, so let us know immediately if you change your mind. We are finalizing now for filing tomorrow.

Regards,

Tom Wallerstein | Venable LLP

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Suite 1400  
San Francisco, CA 94111  
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**From:** Valeria Calafiore Healy [mailto:valeria.healy@healylex.com]  
**Sent:** Monday, May 18, 2015 2:34 PM  
**To:** Culp, Kimberly  
**Cc:** Wallerstein, Thomas E.; Thomas J. LoSavio; Abbasciano, Stefano; jregan@lowball.com; Dan Weinberg; Diana Wong; Bryan Wolin; Sabrina M. Saccone; Chintanaseri, Su; Kerakos, Manoush  
**Subject:** Re: Loop AI Labs Inc. v. Gatti et al.

Ms. Culp,

we used the Court approved form to ensure there would be no controversy and that we could file a Joint Case Management Order.

You, instead, have changed the Court approved form into a draft that attributes to us language that was unnecessary, is inappropriate, and that is not provided for in the Court approved form, and which is not

amenable to a joint filing. You also purport to present the positions of the other Defendants, which positions are reflected inaccurately in light of the Rule 26(f) conference.

We put in substantial time to produce a non-controversial document that could be jointly filed. In light of your changes, we will plan on filing a separate case management order.

Thank you.

Kind regards,

Valeria Calafiore Healy, Esq.  
**HEALY LLC**  
***Litigation & Dispute Resolution***  
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New York, New York 10013  
M: +1.917.595.0491  
T: +1.212.810.0377  
F: +1.212.810.7036  
[www.healylex.com](http://www.healylex.com)

On Mon, May 18, 2015 at 5:19 PM, Culp, Kimberly <[KCulp@venable.com](mailto:KCulp@venable.com)> wrote:

Ms. Healy – Attached please find a draft joint Case Management Conference statement that incorporates Almaxwave's additions and attempts to incorporate your draft from the PDF document that you circulated Friday afternoon.

Unfortunately the draft you provided was not in the format adopted by the Court and, in any event, was not practicable for Almaxwave to add its own edits to. The Court has prepared an editable Word form for Case Management Statements from which this draft was prepared. The Court's form can be found here at the link "Joint Case Management Statement and Proposed Order": <http://www.cand.uscourts.gov/civilforms>. I apologize in advance if your original draft did not translate exactly as you intended onto the attached draft which comports with the Northern District's form.

All counsel - please track your edits to the attached Word document so that all parties can see what edits are made. Likewise, if you need to add a heading for your section please do so. Given the limited amount of time there is to finalize this document, I ask that any party minimize their edits to global positions. Instead, if we cannot agree on language, then separate out your position with an appropriate heading.

We will plan to file the Case Management Statement tomorrow afternoon by 5:00 p.m. PST. Please circulate your edits by noon tomorrow.

Thank you,

Kimberly

Kimberly Culp | Venable LLP  
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505 Montgomery Street, 14th Floor, San Francisco, CA 94111

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**Wallerstein, Thomas E.**

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**From:** Valeria Calafiore Healy <valeria.healy@healylex.com>  
**Sent:** Friday, May 15, 2015 2:44 PM  
**To:** Wallerstein, Thomas E.; Thomas J. LoSavio; Abbasciano, Stefano  
**Cc:** Dan Weinberg; Diana Wong; Sabrina M. Saccone  
**Subject:** Case 3:15-cv-00798-HSG Loop AI Labs, Inc. v. Gatti et al  
**Attachments:** DRAFT\_Case Management Statement.pdf

Counsel:

attached please find the Draft Joint Case Management Statement.

We edited the form provided by the Court. If you are unable to edit the pdf, please provide your mark-up in handwritten form and we'll be happy to add any changes you would like to make to matters pertaining to your clients.

Thank you,

Kind regards,

Valeria Calafiore Healy, Esq.  
**HEALY LLC**  
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New York, New York 10013  
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DRAFT

Your Name: Valeria Calafiore Healy  
 Address: 154 Grand St.  
 Phone Number: 212-810-0377  
 Fax Number: 212-810-7036  
 E-mail Address: valeria.healy@healylex.com  
 Counsel for Plaintiff Loop AI Labs. Inc.

United States District Court  
 Northern District of California  
 San Francisco

Loop AI Labs Inc.

Case Number: 3:15-CV-0798 HSG

JOINT

Plaintiff,

**CASE MANAGEMENT STATEMENT**

vs.

Date: May 26, 2015

Anna Gatti, Almaviva S.p.A., Almawave S.r.l

Time: 2:00 p.m.

Almawave USA, Inc., IQSystem LLC,

Courtroom: 15, 18th floor

and IQSystem Inc.

Judge: Haywood S. Gilliam Jr.

Defendants.

Pursuant to Federal Rule of Civil Procedure 26(f), Civil Local Rule 16-9, and the Standing  
 Order for All Judges of the Northern District of California, *the parties*  
 hereby submit this *Joint* Case Management Statement.

**1. JURISDICTION AND SERVICE**

This Court has subject matter jurisdiction in this action under:



Federal question jurisdiction because it is about federal law(s) or right(s). Which law(s) or  
 right(s) are involved? R.I.C.O., 18 U.S.C. § 1964 et seq; and C.F.A.A, 18 U.S.C. § 1030 et. seq.

CASE MANAGEMENT STATEMENT; CASE NO. 3:15-CV-0798 HSG

-or-

☐ Diversity jurisdiction because none of the Plaintiffs live in the same state as any of the Defendants AND the amount of damages is more than \$75,000.

Defendants were served on the following dates:

Defendant's Name	Date Served (or Date Expect to Serve)	Disputes personal jurisdiction or venue? (if yes, note which)
Anna Gatti and IQSystem LLC	2/23/2015; 2/23/15	<input type="checkbox"/> Yes: _____ <input checked="" type="checkbox"/> No
IQSystem Inc.	2/23/15	<input type="checkbox"/> Yes: _____ <input checked="" type="checkbox"/> No
Almawave USA & Srl, Almaviva	2/23/15, 3/31/15.	<input checked="" type="checkbox"/> Yes: Pers. Jur. <input type="checkbox"/> No

## 2. FACTS

*Give a brief summary of what this case is about. If you and the other side disagree about important facts, list those facts here.*

Plaintiff, a company based in San Francisco and operating in the artificial intelligence space, alleges that Defendants, acting in concert with one another, engaged in a scheme to destroy the Plaintiff, and to steal its trade secrets and other properties, and that as a result of this wrongdoing Plaintiff suffered substantial damages. Plaintiff has brought various claims for violations of federal and state laws. Although not all Defendants have filed an Answer, based on filings to date, the Defendants dispute some or all of the allegations made by Plaintiff in this action.

## 3. LEGAL ISSUES

*Briefly state the legal issues, including laws or cases, which are in dispute between you and the other side.*

At the present stage of the proceeding, all legal issues arising out of the claims brought by Plaintiff against each Defendant appear to be in dispute.

CASE MANAGEMENT STATEMENT; CASE NO. 3:15-CV-0798 HSG

1 **4. MOTIONS**

2 The following motions have been decided and/or are pending in this case:

3 Title of Motion	Date of Hearing and/or Order Issued
4 <u>Pl.'s Application for a TRO.</u>	<u>Order issued on 3/12/15; no hearing.</u>
5 <u>Pl.'s Motion For Clarif. &amp; Recons.</u>	<u>Order issued on 4/23/15; no hearing.</u>
6 <u>Defs. Partial FRCP 12(b) Motions</u>	<u>Hearing set for 7/2/15 at 2:00p.m.</u>

7 *[add additional lines if additional motions have been decided or are pending]*

8 **5. AMENDMENT OF PLEADINGS**

9 ☐ Plaintiff(s) do not anticipate amending the Complaint.

10 *-and/or-*

11 ☐ Defendant(s) do not anticipate amending the Answer/Counterclaim.

12 *-or-*

13 ☒ Plaintiff Loop AI Labs Inc. anticipates amending

14 the Complaint by or following a ruling by the Court on the Defendants' pending motions to dismiss,  
15 and as otherwise permitted under the Federal Rules of Civil Procedure.

16 *-or-*

17 ☐ Defendant(s) *[insert name(s)]* \_\_\_\_\_ anticipate amending the

18 *[Answer / Counterclaim]* on or by *(insert date)* \_\_\_\_\_.

19 **6. EVIDENCE PRESERVATION**

20 *Parties to a lawsuit are required to preserve evidence that may be relevant to the case. This statement confirms that the parties are preserving that evidence.*

21 Except as to be confirmed by Defendants Gatti and IQSystem, the other parties  
22 have taken positive steps to preserve evidence related to the issues presented in this case.

23 **7. DISCLOSURES**

24 ☐ Parties **have** exchanged Initial Disclosures in compliance with Fed. R. Civ. P. 26.

25 *-or-*

26 ☒ Parties **have not yet** exchanged Initial Disclosures in compliance with Fed. R. Civ.  
27 P. 26 but agree to exchange Initial Disclosures by 5/22/15.

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**8. DISCOVERY**

*State whether the parties have exchanged any discovery. If the parties have exchanged discovery, state what kind of discovery has been exchanged and when. Also state a proposed discovery plan that complies with Fed. R. Civ. P. 26(f), including any proposed limitations or changes to the usual discovery rules.*

Plaintiff's Position: merits discovery is in the process of being served. Plaintiff proposes that all discovery, except for expert discovery, be completed by November 30, 2015.

Defs.' Position: discovery has been stayed for the entire action under the Cal. C. Civ. P. §425.16

**9. CLASS ACTIONS**

*If the case is a class action, explain how the class will be certified. Most cases are not class actions. If this case is not a class action, write "Not Applicable."*

N/A

**10. RELATED CASES**

*State whether there are any cases pending before any court or administrative body that are related to this case. Refer to Civil Local Rule 3-12 for guidance on what kind of cases would be related. If there are cases related to this case, list the courts or administrative bodies where the cases are pending and the case numbers.*



To the parties' knowledge, there **are not** related cases currently pending before any court or administrative body.

-or-



To the [the parties' / Plaintiff's / Defendant's] knowledge, there **are** related cases currently pending before a court or administrative body. The case(s) is/are pending in (list court name or administrative body) \_\_\_\_\_. The case number(s) is/are \_\_\_\_\_.

**11. RELIEF SOUGHT**

*State what you want from the other side or what you want the court to do. You can include the amount of damage you want and how the damage is calculated.*

Plaintiff seeks legal and equitable remedies against each Defendant.

1 **12. SETTLEMENT AND ADR**

2 *State whether the parties have made any efforts for Alternative Dispute Resolution ("ADR") and*  
3 *whether the parties believe there is a possibility for settlement. Describe any motions or discovery*  
4 *issues that would need to be resolved for settlement to be possible, and describe an ADR plan that*  
5 *complies with ADR Local Rule 3-5.*

6 This case was designated for ADR under the Local Rules.

7 Plaintiff proposed to schedule an early mediation in front of a US Magistrate Judge.

8 The Defendants rejected the proposal.

9 The parties [are working with the Court's ADR staff to address this issue].

10 **13. CONSENT TO A MAGISTRATE**

11 The *Plaintiff* consents to a magistrate judge for trial and entry of judgment.  
12 The Defendants do not consent.

13 **14. OTHER REFERENCES**

14 *The parties do not believe that this case is suitable for reference to binding arbitration,*  
15 *a special master, or the Judicial Panel on Multidistrict Litigation.*

16 **15. NARROWING OF ISSUES**

17 *Use this section to explain if issues in this case could be narrowed by agreement or by motion.*  
18 *Suggestions can also be made here as to how to make evidence more easily presented at trial*  
19 *(through a summary or through a stipulation of facts) or to request to split up issues, claims, or*  
20 *defenses. If none of these things apply to this case, write "Not Applicable."*

21 The parties have not yet identified areas where they may narrow the issues in dispute.

22 **16. EXPEDITED SCHEDULE**

23 The parties have not reached agreement on a possible expedited schedule.

24 //

25 //

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**17. SCHEDULING**

*This section should list the dates by which the parties agree to have important documents filed or exchanged in the case. Be sure all parties are in town and able to meet the deadlines set forth in this section.*

Parties Exchange Initial Disclosures 5/22/15

Last Day to Amend Complaint, Answer, and/or Counterclaim: 20-dd after Court's Ruling on 12(b) Mot.

Last Day for Non-Expert Discovery : PL.'s Position: 10/31/15 - Defs.' Position: 2016

Last Day to Designate Experts: Pl.'s Position: 10/31/15- Defs.' Position: 2016/2017

Expert Discovery Cut-Off: Pl.'s Position: 01/31/15- Defs.' Position: 2016

Last Day to File Motion for Summary Judgment: Pl.'s Position: 11/15/2015- Defs.' Position: 2016

Proposed Month/Year for Trial : Pl.'s Position: March 2016 - Defs.' Position: 2017

**18. TRIAL**

☒ This case will be tried by a jury. The trial is expected to last 14 days or less.

-or-

☐ This case will be tried by a judge. The trial is expected to last \_\_\_\_ days.

**19. DISCLOSURE OF NON-PARTY INTERESTED ENTITIES OR PERSONS**

*Civil Local Rule 3-16 requires that each party file a "Certification of Interested Parties or Persons," which is a disclosure to the Court of other people, companies, or organizations who would have an interest in this lawsuit because they would be in some way affected by it. This information must also be re-stated here, if it applies. See Civil Local Rule 3-16 for guidance as to what kind of people, companies, or organizations would be considered to be an interested entity. If other people, companies, or organizations would be affected by this lawsuit, list their names and addresses here.*

Defendant IQSystem LLC has not yet filed the requisite Certification. All other parties have filed Certifications of Interested Parties or Persons. See Dkt. Nos. 2, 34, 41-42.

Plaintiff has requested Almagiva S.p.A. to confirm whether GE Capital, part of US publicly traded General Electric, continues to be a 35% shareholder in that party, as GE Capital was not listed in their Certification of Interested Parties. See Dkt. No. 41. Almagiva S.p.A. has declined to confirm or deny whether GE Capital continues to be one of its shareholders.

//

**20. OTHER MATTERS**

*Use this section to discuss other issues that would facilitate the just, speedy, and inexpensive disposition of this case.*

The Defendants have taken the position that discovery for this entire action and for all parties was automatically stayed under Cal. C. Civ. P. § 425.16(g) as a result of Pl.'s filing of a Special Motion to Strike directed solely to Defendant IQSystem Inc.'s counterclaim.

Pl.'s believes that its Special Motion to Strike is narrowly directed to one limited issue and that there is no legal basis for the Defendants' position. To avoid unnecessary discovery delays or motions, Plaintiff respectfully believes this matter should be addressed during the May 26, 2015 conference.

Date: 5/15/2015 Sign Name: /s/ Valeria Calafiore Healy

Print Name: Valeria Calafiore Healy

Counsel for Plaintiff Loop AI Labs Inc.

Date: \_\_\_\_\_ Sign Name: \_\_\_\_\_

Print Name: \_\_\_\_\_

Counsel for Defendants Almoviva S.p.A,  
Almawave S.r.l., and Almawave USA Inc.



**20. OTHER MATTERS**

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Date: 5/15/2015 Sign Name: /s/ Daniel J. Weinberg

Print Name: Daniel J. Weinberg

Counsel for Plaintiff Loop AI Labs Inc.

Date: \_\_\_\_\_ Sign Name: \_\_\_\_\_

Print Name: \_\_\_\_\_

Counsel for Defendants Anna Gatti and  
IQ System LLC.